

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : POONAWALLA FINCORP LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/06/2022**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?	YES	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category y (I)	Category of shareholder (II)	Nos. of shareholde rs (III)	No. of fully paid- up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	1	470405352	0	0	470405352	61.494	470405352	0	470405352	61.494	0	61.494	152666200	32.454	0	0.000	470405352
(B)	Public	193020	294557682	0	0	294557682	38.506	294557682	0	294557682	38.506	0	38.506	0	0.000	0	0.000	293880297
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	193021	764963034	0		764963034	100.000	764963034	0	764963034	100.000	0	100.000	152666200	19.957	0	0.000	764285649

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
										No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate			1	470405352	0	0	470405352	61.494	470405352	0	470405352	61.494	0	61.494	152666200	32.454	0	0.000	470405352
	RISING SUN HOLDINGS PVT LTD	PROMOTER	AAACR8952M	0	470405352	0	0	470405352	61.494	470405352	0	470405352	61.494	0	61.494	152666200	32.454	0	0.000	470405352
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)			1	470405352	0	0	470405352	61.494	470405352	0	470405352	61.494	0	61.494	152666200	32.454	0	0.000	470405352
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			1	470405352	0	0	470405352	61.494	470405352	0	470405352	61.494	0	61.494	152666200	32.454	0	0.000	470405352

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		7	33766786	0	0	33766786	4.414	33766786	0	33766786	4.414	0	4.414	0	0.000	0	0.000	33766786
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LI	AAATB0102C	0	7962410	0	0	7962410	1.041	7962410	0	7962410	1.041	0	1.041	0	0.000	0	0.000	7962410
	NIPPON LIFE INDIA TRUSTEE LTD- A/C NIPPO	AAATR0090B	0	18677319	0	0	18677319	2.442	18677319	0	18677319	2.442	0	2.442	0	0.000	0	0.000	18677319
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		2	340000	0	0	340000	0.044	340000	0	340000	0.044	0	0.044	0	0.000	0	0.000	340000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		81	56241127	0	0	56241127	7.352	56241127	0	56241127	7.352	0	7.352	0	0.000	0	0.000	56241127
	AMANSA HOLDINGS PRIVATE LIMITED	AAKCA7237L	0	23420187	0	0	23420187	3.062	23420187	0	23420187	3.062	0	3.062	0	0.000	0	0.000	23420187
	BANK MUSCAT INDIA FUND	AADCB4040P	0	8754888	0	0	8754888	1.144	8754888	0	8754888	1.144	0	1.144	0	0.000	0	0.000	8754888
(f)	Financial Institutions/Banks		9	9649665	0	0	9649665	1.261	9649665	0	9649665	1.261	0	1.261	0	0.000	0	0.000	9527305
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		1	5	0	0	5	0.000	5	0	5	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		100	99997583	0	0	99997583	13.072	99997583	0	99997583	13.072	0	13.072	0	0.000	0	0.000	99875218
(2)	Central Government/State Government(s)/Presi dent of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		189251	88416442	0	0	88416442	11.558	88416442	0	88416442	11.558	0	11.558	0	0.000	0	0.000	87883662
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		84	52460686	0	0	52460686	6.858	52460686	0	52460686	6.858	0	6.858	0	0.000	0	0.000	52460686
	MAYANK PODDAR	AGGPP2938Q	0	10023212	0	0	10023212	1.310	10023212	0	10023212	1.310	0	1.310	0	0.000	0	0.000	10023212
	MAYANK PODDAR	AAQFC0901J	0	7833931	0	0	7833931	1.024	7833931	0	7833931	1.024	0	1.024	0	0.000	0	0.000	7833931
	SANJAY CHAMRIA	ABXPC0521A	0	10650000	0	0	10650000	1.392	10650000	0	10650000	1.392	0	1.392	0	0.000	0	0.000	10650000
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		1594	47686191	0	0	47686191	6.234	47686191	0	47686191	6.234	0	6.234	0	0.000	0	0.000	47664116
	MICROFIRM CAPITAL PRIVATE LIMITED	AABCM7322H	0	13382935	0	0	13382935	1.749	13382935	0	13382935	1.749	0	1.749	0	0.000	0	0.000	13382935
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		1228	2189672	0	0	2189672	0.286	2189672	0	2189672	0.286	0	0.286	0	0.000	0	0.000	2189672
	NRI - Non-Repatriable		627	2425510	0	0	2425510	0.317	2425510	0	2425510	0.317	0	0.317	0	0.000	0	0.000	2425345
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		12	16825	0	0	16825	0.002	16825	0	16825	0.002	0	0.002	0	0.000	0	0.000	16825
	Clearing Memembers		122	923884	0	0	923884	0.121	923884	0	923884	0.121	0	0.121	0	0.000	0	0.000	923884
	IEPF Authority		2	440889	0	0	440889	0.058	440889	0	440889	0.058	0	0.058	0	0.000	0	0.000	440889
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		192920	194560099	0	0	194560099	25.434	194560099	0	194560099	25.434	0	25.434	0	0.000	0	0.000	194005079
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		193020	294557682	0	0	294557682	38.506	294557682	0	294557682	38.506	0	38.506	0	0.000	0	0.000	293880297

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

[illegible]

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>				<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Mr. Adar Cyrus Poonwalla	PAN - AFEP9955N	Indian	Rising Sun Holdings Pvt. Ltd.	AAACR8952M	A Body Corporate incorporated in India	61.50%	-	--	--	-	21.05.2021